A STEREORATIONAL TOTAL SYNTHESIS OF (-)-PTILOCAULIN

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(Received in Switzerland 1 November 1984)

Abstract—A stereorational total synthesis of the structurally (-)-enantiomer of the unique guanidine containing natural product ptilocaulin is described. This efficient synthesis (14 steps, 7.4% overall yield) utilizes an intramolecular 1,3-dipolar cycloaddition as a key step and establishes that the natural product is the most stable of a number of possible isomers. This work also establishes the absolute stereochemistry of ptilocaulin to be that shown in formula 3.

The isolation of ptilocaulin and isoptilocaulin from the orange Caribbean sponge *Ptilocaulis aff. P. spiculifer* (Lamarck, 1814) was reported by Rinehart and coworkers in 1981.^{3,4} These two novel guanidine containing natural products, isolated as nitrate salts, display anti-microbial activity against gram-positive and gram-negative bacteria, yeasts and filamentous fungi, and significant cytotoxicity towards L1210

actually be produced by a symbiont rather than the sponge itself.³

The unique structure and impressive biological activity of ptilocaulin prompted us to undertake a total synthesis. We initially considered a synthetic approach based on Rinehart and co-workers' biosynthetic proposal (Scheme 1). After examination of molecular models, however, we assumed (incorrectly!)⁸ that a

leukemia cells. Other notable members of the relatively small class of guanidine containing natural products include saxitoxin (4)⁵ and tetrodotoxin (5),⁶ both of which are also highly bioactive. The very interesting chemistry of this group of compounds has been recently reviewed by Chevolot.⁷

synthesis involving condensation of 6 with guanidine would be unsatisfactory. This analysis revealed that Michael addition should occur preferentially to the more exposed convex face of 6 resulting in the incorrect stereochemistry at C.3a. Thus, a synthesis of 3 from 6 as indicated in Scheme 1 would require thermo-

Ptilocaulin and isoptilocaulin contain a unique tricyclic ring system in which a guanidine is fused to a substituted perhydroindene nucleus. Structures 1 (ptilocaulin) and 2 (isoptilocaulin) were assigned on the basis of detailed spectroscopic analyses, with 10 Hz coupling constants between H.8b and H.5a in both compounds suggesting trans ring fusions. The assignment for ptilocaulin, however, was amended to that shown in 3 after an X-ray analysis revealed that the ring fusion was in fact cis. Rinehart and co-workers suggested that ptilocaulin may be derived from addition of guanidine to a polyketonide chain and may

dynamically controlled conditions, and we feared that a number of side reactions could interfere. 9

We sought instead to develop an approach which would fix each of the stereocenters of 3 in a rational manner. Our retrosynthesis thus took the form shown in Scheme 2, in which an intramolecular 1,3-dipolar cycloaddition¹⁰ of the nitrone derived from aldehyde 18 constitutes the key step. Completion of the synthesis from isoxazolidine 19 would involve adjustment of oxidation state at C.8a followed by introduction of a guanidine residue. We were confident that the key C.5a stereocenter in 18 could be introduced via conjugate

Scheme 1.

addition of an appropriate propionaldehyde equivalent to enone 12, which in turn would be prepared from the readily available homochiral 3-methylcyclohexanone 8.11.12 Since the absolute configuration of ptilocaulin had not been established, this synthesis would resolve this final stereochemical issue.

We describe herein the details of our successful synthesis of (-)-7 from 8 (14 steps, 7.4% overall yield) which establishes the absolute stereochemistry of ptilocaulin to be that shown for 3.8,13

Synthesis of isoxazolidine 19

Our immediate target was cyclohexenone 12 (Scheme 3) which we hoped to prepare from enone 10. Thus, R-(+)-3-methylcyclohexanone 14 (8) was treated with LDA in THF at -78° followed by diphenyldisulfide to give the corresponding α -ketosulfide, which was oxidized with MCPBA to give a mixture of diastereomeric sulfoxides 9 (65% from 8). Heating a CCl₄ solution of 9 in the presence of CaCO₃ then afforded the known cyclohexenone 10 (65%). 15 All attempts to alkylate the kinetic enolate of 10 with 1-

iodobutane, unfortunately, resulted in the formation of mixtures of unidentified products. ¹⁶ It was possible, however, to prepare 12 by alkylation of the dianion of $9.^{17}$ Thus, treatment of 9 with LDA (2.2 equiv of 1 M solution in THF, 6 equiv HMPA, -35° , 3 hr) followed by quenching with 1-iodobutane (1.2 equiv, -35° , 2 hr) afforded a mixture of diastereomeric butylated sulfoxides 11. Under these carefully controlled conditions 11 was obtained reproducibly in yields of 75-82% in up to 10 g quantities. Heating a CCl₄ solution of 11 with 0.95 equiv of CaCO₃ afforded the desired cyclohexenone 12a,b in 65% yield. ¹⁸ Compound 12a,b was a ca 6:1 mixture of inseparable diastereomers, with the major isomer (12b) assigned the β -configuration at C.8. ^{19,20}

With 12a,b in hand we turned to establishment of the crucial C.5a center. Ample precedent exists for the axial 1,4-addition²¹ of cuprates to cyclohexenone 10 and we felt that 1,4-additions to 12a,b (especially 12b) would proceed in an analogous manner. Although 12a can in principle react via either of two reasonable conformations, axial 1,4-addition to conformation B (leading

Scheme 3.

to the unnatural configuration at C.5a) should be disfavored owing to a 1,3-interaction with the axial C.7 methyl group. As a consequence, we expected 1,4addition to 12a to proceed selectively via conformation

Preliminary experiments on the conversion of 12a,b to aldehyde 18 were not encouraging. For example, treatment of 12a,b with allylmagnesium bromide in the presence of CuBr-Me₂S²² afforded 13a,b in only 33%

These disappointing results prompted us to examine the Sakurai reaction²⁴ for synthesis of 13a,b (Scheme 4). We were delighted, therefore, to discover that treatment of 12a,b with TiCl₄ in CH₂Cl₂ at -78° followed by allyltrimethylsilane afforded a 6:1 mixture of allylcyclohexanones 13b: 13a in >95\% yield with complete control of stereochemistry at C.5a.25-27 These isomers could be separated chromatographically, and each has been brought independently

yield, along with considerable 1,2-addition products. Attempts to adapt this procedure to the preparation of enolphosphate 14a,b by quenching the 1,4-addition reaction with chlorodiethylphosphate afforded 14a,b in only 16% yield. Conjugate addition of 2-(1,3-dioxolan-2-yl)ethyl magnesium bromide²³ to 12a,b also proved unsatisfactory.

through the synthesis to (-)-7. On a routine basis, however, such mixtures were used without separation.

The conversion of 13a,b to isoxazolidine 19a,b is summarized in Scheme 4. Deprotonation of 13a,b with LDA in THF-HMPA followed by quenching with chlorodiethylphosphate afforded 14a,b in 77% yield.²⁸ Attempts to reduce 14a,b to diene 15a,b by treatment

Scheme 4.

15a,b

with Li in EtNH₂ afforded inseparable mixtures of 15a,b and material which had suffered competing reactions of the terminal vinyl group. Alternatively, 14a,b could be converted to alcohol 16a,b in 90% yield by a hydroboration sequence using 9-BBN, with no interference from the enolphosphate functionality. Reduction of 16a,b with Li in EtNH2 containing BuOH proceeded smoothly to give alcohol 17a,b (99%), which was oxidized to aldehyde 18a,b by treatment with PCC in the presence of NaOAc (90%). Aldehyde 18a,b, without purification, was immediately dissolved in dry benzene (0.05 M solution) and treated with 1.0 equiv of benzylhydroxylamine.29 The mixture was heated to reflux (80°) for 6 hr while allowing the benzene vapors to condense in a column containing 3 Å molecular sieves. After removal of solvent and chromatography of the crude product the desired isoxazolidine 19a,b was obtained in 80% yield.30 When

constants in 19a (9.0 Hz) and 19b (7.9 Hz) are similar in magnitude to the large 10 Hz coupling observed in the natural product. Examination of molecular models of 19a,b reveals that H.8b and H.5a, as in ptilocaulin, possess a dihedral angle close to 0° due to the rigid tricyclic ring system. The stereochemical outcome of this reaction is consistent with either a Z-nitrone (the thermodynamically preferred 32a,b and usually invoked 32c geometric isomer) undergoing cyclization via exo transition state A or an E-nitrone proceeding via endo transition state B. The alternative Z-endo (C) and E-exo (D) transition states, leading to the unobserved C.3a epimers of 19a,b, suffer serious tortional strain and non-bonded interactions. 33

Synthesis of (-)-ptilocaulin

At this juncture the complete carbon skeleton of the natural product was in place, and we were ready to turn to the seemingly straightforward task of converting 19a,b to ptilocaulin. We began by investigating the cleavage of the isoxazolidine nitrogen—oxygen bond (Scheme 5).³⁴ Treatment of 19a,b with a large excess of freshly prepared Al-Hg^{35,36} in aqueous THF afforded

pure 18a or 18b (from isomerically pure 13a or 13b, respectively) was used in this reaction a single isomer 19a or 19b was obtained. Isoxazolidines 19a,b were readily separable by column chromatography and separation of the C.8-butyl isomers was often postponed until this stage of the synthesis.

The structures assigned to 19a and 19b are fully consistent with the coupling constant data summarized above.³¹ It is noteworthy that the cis-H_{Bb,5a} coupling

the desired benzylamino alcohol 20a,b in 91% yield after extended reaction (2-3 days). The use of Na-Hg³⁶ in EtOH was even slower, affording only a trace of product (as judged by TLC) after two days, while treatment with LiAlH₄ in refluxing THF (22 hr) provided 20a,b in 70% yield. However, heating 19a,b in 10 M aqueous AcOH containing a large excess of Zn dust³⁵ cleanly afforded the desired product 20a,b in 95% yield. We found that the best yields were obtained

when the Zn dust was added to a pre-heated solution of 19a,b in 10 M aqueous AcOH; addition of Zn dust at room temperature followed by warming to 55° gave lower yields of impure product. The reaction was conveniently monitored by TLC and additional Zn was added periodically until the starting material had been consumed. Because of the rapid reaction and reproducible high yields we adopted this procedure for routine preparation of 20a,b.

We next attempted the oxidation of 20a,b to ketone 21a,b. Jones' reagent is generally quite useful for the oxidation of amino alcohols to amino ketones since the nitrogen atom can be protonated, and thereby protected, by performing the oxidation in the presence of dilute aqueous HCl.³⁷ Thus, 20a,b was dissolved in acetone and treated sequentially with 10% HCl and a large(>100 fold) excess of Jones' reagent. Reaction was very slow, requiring approximately 24 hr to go to completion, and was accompanied by production of a substantial amount of 4-hydroxy-4-methyl-2-pentanone (diacetone alcohol). More significantly, aminoketone 21a,b was found to consist of several

functionalization of 21a. Nitroguanyl-1,3-dimethylpyrazole (NGDMP, 22) is a useful reagent for guanidination of amines, affording non-polar N-nitro guanidino derivatives.³⁸ Treatment of partially isomerized 21a with 22 in CHCl₃, however, resulted in

complete recovery of starting material. The reagent Si(NCS)₄³⁹ is very reactive, resulting in the formation of a thioamide upon reaction with an amine. However, aminoketone 21a gave no identifiable products upon treatment with this reagent in benzene. As an alternative we attempted to introduce the guanidine before oxidation of 20a,b. We hoped that oxidation

epimers (typically 15-30% epimerized), the ratio of which varied from reaction to reaction. These results were obtained whether pure 20a, pure 20b or mixtures of 20a,b were used. We recognized that this epimerization had grave consequences for our planned stereoselective synthesis, and briefly investigated the use of other oxidants to effect this conversion. While less epimerization was seen (ca 10%) using PCC, the yields were lower (50%).

Assuming that the epimerization problem could be resolved in the future, we briefly investigated further

would be accompanied by immediate cyclization of the guanidine onto the newly formed ketone, thus avoiding epimerization. However, treatment of 20b with NGDMP (22) in methanol or tetrahydrofuran provided only recovered starting material and decomposed reagent.

Reasoning that compounds 20a,b and 21a,b containing secondary amines were too hindered to allow functionalization, we decided to remove the benzyl group from 20a,b. This transformation was most easily accomplished by transfer hydrogenation⁴⁰ using

$$\frac{\text{NGDMP, CHCl}_3}{\text{or}}$$
No reaction
$$\frac{21a}{\text{Si(NCS)}_4 \cdot \text{C}_6\text{H}_6}$$

10% formic acid in methanol and freshly prepared Pd black⁴¹ as catalyst (3 hr, 23°), giving **24a**,b in 96% yield after workup. However, this material also proved resistant to further functionalization with either NGDMP (**22**) or 1-guanyl-3,5-dimethylpyrazole

contaminated with diacetone alcohol. Attempts to oxidize 24b by use of Swern's oxalyl chloride-DMSO procedure⁴³ afforded no identifiable products, and use of triphenylbismuth carbonate in CH₂Cl₂ (23°) led to no reaction.^{44a} When 24b was treated with 1.5 equiv of

nitrate (GDMP, 23).⁴² The latter reagent has been reported to react with primary and secondary amines, typically by melting the reagent with the amine in the absence of solvent. When amino alcohol 24b was melted with 1 equiv of GDMP no reaction was

this reagent in refluxing CHCl₃ for 24 hr, oxidation products were still not observed. Under these more vigorous conditions, however, a less polar material was produced which appeared to be the product of phenyl transfer from Ph₃BiCO₃ to the amino functionality of

observed. Interestingly, when the melt procedure was applied to NGDMP (22) and 24b a new product (not 25b, $X = N - NO_2$) was obtained. The structure of this material, however, was not determined.

24b. Although the identity of this compound was not rigorously determined, such transfers have been previously observed with triphenylbismuth diacetate^{44b} and triphenylbismuth carbonate.^{44c}

Since amino alcohol 24a,b was easily obtained in pure form as a single isomer we investigated methods for its oxidation to ketone 26a,b. Treatment of an acetone solution of 24a,b containing aqueous HCl with a large excess of Jones' reagent afforded 26a,b which was again substantially epimerized (30%) and

Plagued by the inability to cleanly oxidize either 20a,b or 24a,b and the failure to introduce a guanidine moiety to any of these intermediates, we attempted yet another approach. The essence of this strategy is shown in Scheme 6. We reasoned that if the guanidino group could be introduced at the stage of 27a,b, subsequent

27a,b

reductive cleavage of the nitrogen—oxygen bond followed by oxidation should be accompanied by cyclization to give ptilocaulin (7).

We found that the benzyl group in 19b could be cleanly removed by applying the same transfer hydrogenation procedure described earlier (95% yield). Functionalization of 27b either by treatment with benzylisocyanate, GDMP (melt) or NGDMP (melt) smoothly afforded 28b, 29b and 30b, respectively (72–

aldehyde 31 (Scheme 7). In such an approach the need for reductive removal of the C.8a oxygen atom (cf. $16 \rightarrow 17$) would be eliminated, as would subsequent reoxidation of this position. Since we had already determined that the guanidino group could be cleanly introduced at the stage of isoxazolidine 27b (cf. $27b \rightarrow 29b$) we felt that this approach merited investigation.

Toward this end, enolphosphate 16a,b was cleanly oxidized with PCC to give aldehyde 34a,b in 82% yield.

95% yields). These encouraging results were soon tempered by the reluctance of 28b and 29b to undergo nitrogen—oxygen bond cleavage under conditions used successfully in the conversion of 19a,b to 20a,b (Zn/HOAc, Δ; Al-Hg; Na-Hg; starting material was recovered in each case). More vigorous conditions such as Zn dust in refluxing aqueous HCl caused extensive decomposition of 29b.

However, several attempts to effect an intramolecular cycloaddition by treatment of 34a,b with benzylhydroxylamine in benzene met with failure. Only very complex mixtures of products resulted, from which no desired cycloadduct was isolated.

Studies involving enol carbonate 36b were only slightly more successful (Scheme 8). When 36b was treated with benzylhydroxylamine a complex mixture

OPO(OEt)₂

$$\frac{\text{PhCH}_2\text{NHOH}}{\text{C}_6\text{H}_6}, \Delta \qquad \text{No nitrone-derived cycloadducts}$$

$$\frac{34a,b}{}$$

At this stage it appeared that the conversion of 19a,b to ptilocaulin would be considerably more challenging than originally anticipated. In a reanalysis of our strategy we decided to modify the route so that C.8a would be maintained in the correct oxidation state throughout the synthesis, thus eliminating the need to oxidize amino alcohol intermediates. The key step of this new approach would be an intramolecular 1,3-dipolar cyclization of the nitrone derived from

of products was again obtained, from which only trace amounts (<10%) of cycloadduct 37b were isolated. This material was identified by comparison of its ¹H-NMR spectrum with that of isoxazolidine 19b.

Literature precedent suggested that intramolecular nitrone cycloadditions with enol ether derivatives should be a feasible process.⁴⁶ We speculated that intermediates 34a,b and 36b might undergo competitive nucleophilic attack by benzylhydroxylamine at the

OCO₂CH₃
1) LDA, THF
2) Me0COC1
40%

OCO₂CH₃
1) 9-BBN, THF
2) H₂O₂, NaOH
3) PCC
79%

OHC

PhCH₂NHOH

C₆H₆,
$$\Delta$$
<10%

37b

Scheme 8.

labile enolphosphate or carbonate centers. In an effort to ameliorate this problem enol pivaloate 38b was investigated. This intermediate was efficiently prepared in 80% overall yield from 13b by simple modification of the chemistry outlined in Schemes 4 and 8. When 38b was treated with benzylhydroxylamine in refluxing

Under these conditions the epimerization problem was largely avoided, although in some runs as much as 5–10% of epimers were detected. Significantly, the formation of acetone derived by-products was now eliminated, thereby simplifying the isolation of 21a,b. Although this intermediate could be purified by careful

OHC

$$C(CH_3)_3$$
 C_6H_6 , Δ
 C_6H_6 , Δ

benzene a clean reaction ensued, generating nitrone 39b (structure assigned by ¹H-NMR analysis). Unfortunately, heating 39b in benzene at 80° (6 hr) left this material unchanged, while heating at 110° in toluene (6.5–17 hr) caused extensive decomposition, leading to a mixture of unidentified products. This approach was therefore regrettably discontinued and we returned to the sequences discussed previously.⁴⁷

Hoping that ptilocaulin could be obtained from a pure sample of amino ketone 26a,b (cf. Scheme 2) we sought a method for avoiding the epimerization problems associated with the oxidation of 20a,b and 24a,b. A key advancement was made when we observed that oxidation of 20a,b with CrO₃-H₂SO₄ proceeded much more rapidly in glacial acetic acid than in aqueous acetone.⁴⁸ Indeed, by conducting this oxidation in glacial acetic acid containing 2 M aqueous HCl, complete conversion of 20a,b to 21a,b was achieved (95% yield) after only 2.5 hr at 0° (Scheme 9).

chromatography, we routinely used crude 21a,b immediately in the next step. In initial experiments, however, 21a,b was chromatographed and epimers 40a (from experiments with 20a) and 40b (from pure 20b) were separated. The spectroscopic data for these compounds were consistent with the *trans*-fused structures below.

Benzylamino ketones 21a,b were smoothly deprotected by transfer hydrogenation (Scheme 9), affording amino ketone 26a,b in 95% yield.

Scheme 9.

Unfortunately, additional epimerization (15-30%) occurred even under these relatively mild reaction conditions. A clue to the source of this problem was found when we determined that the formate salt of 26b, obtained by simple filtration of the hydrogenation mixture to remove the catalyst and concentration in vacuo, consisted primarily of a single epimer. After neutralization (by washing a CHCl₃ solution of 26b with saturated aqueous NaHCO₃), however, ¹H-NMR analysis revealed the presence of epimeric material (30%). Thus, epimerization apparently occurs spontaneously at the stage of 26a,b after reaction workup. Although epimerization of 26a,b was apparently unavoidable, isolation of the formate salt of 26a,b and subsequent neutralization immediately before use in the final step of the synthesis was adopted as standard procedure.

Amino ketone 26a,b could also be prepared by Jones' oxidation of 24a,b in glacial acetic acid (94% yield). While the reaction proceeded more rapidly than in aqueous acetone, substantial epimerization was again observed (30%). Because isolation of 26a,b from the transfer hydrogenation deprotection of 21a,b was much more convenient than from the Jones' oxidation of 24a,b, the former procedure was the preferred method for preparation of this intermediate (see Scheme 9).

With amino ketone 26a,b in hand (albeit epimeric!) we were optimistic about obtaining at least some ptilocaulin (7) from the reaction of 26a,b with GDMP (23). When 26b was subjected to the melt conditions used previously (1 equiv 23, 120°, 15 min), a mixture of three guanidine containing compounds was obtained in an approximate 2:1:1 ratio (48-51% yield). The major product possessed ¹H-NMR characteristics consistent with ptilocaulin 7, while the other two compounds were tentatively assigned structures 41 and 42. Compound 41 is derived presumably from transfused 44b while 42 represents an olefinic regioisomer of the natural product. Unfortunately, these compounds were inseparable by chromatography. When the same guanidination procedure was applied to 26a we were dismayed to find that virtually no ptilocaulin was obtained. Instead, two major guanidine containing compounds were produced (ca 1:1), one of which corresponded to 41 and the other which was assigned structure 43.49 Interestingly, 26a underwent guanidation with GDMP (23) in benzene (80°, 18 hr, ca 40%

yield) to give a mixture of 43 and 41 in a ca 3:1 ratio. Again, only a trace of 7 was detected.

These results can be rationalized by inspection of the presumed intermediates 45-48 (Scheme 10) in the guanidination reaction. Thus, in 46 (from 26b) the axial C.8 hydrogen is perpendicular to the adjacent C=N π system and therefore is suitably oriented for tautomerization to ptilocaulin 7. In 45 (from 26a), however, the C.8 hydrogen is equatorial and thus not favorably oriented for isomerization to 7. In both 45 and 46 the axial C.8b hydrogen possesses favorable geometry for isomerization, to 43 and 42, respectively. Presumably, the trans-fused intermediates derived from 44a and 44b would exist in the conformations shown for 47 and 48. In both cases the C.8 hydrogen is axial, thereby allowing the formation of 41 from both intermediates. In addition, elimination of H.8b can occur in either intermediate leading to 43 and 42, respectively.

While these studies were in progress, Snider reported that the reaction of enone 6 and guanidine afforded ptilocaulin as the sole product (35% yield).84 This strongly implied that ptilocaulin should be the most stable isomer, and we were immediately able to test this hypothesis. Indeed, the mixture of 7, 41, and 42 obtained from 26b was dissolved in benzene and treated with a catalytic amount of guanidine. After 24 hr at reflux the reaction was neutralized with 1% HNO3 and worked up by extraction with CHCl₃ and saturated aqueous NaNO₃. Examination of the ¹H-NMR spectrum of the material so obtained revealed that it consisted of virtually homogeneous ptilocaulin. The recovery of 7 after chromatography was 89%, indicating that equilibration and not selective destruction of the minor isomers had occurred. In a similar manner, the mixture of 41 and 43 (with trace 7) from 26a also yielded only 7 after guanidine equilibration. It was now obvious that the epimerization(s) encountered in the preceding steps were no longer a concern. Moreover, we also quickly discovered that 7 could be obtained directly from 26a,b in 58-65% yield by simply conducting the melt reaction with 1.1 equiv of 23 at higher temperatures (145–155°) for 5-6 hr (equilibrating conditions).

The synthetic ptilocaulin so obtained (m.p. 183–184°; lit.³ 183–185°) possessed an optical rotation ($[\alpha]_D^{23}-73.9^\circ$ (c=0.31, 99.9% CH₃OH)) equal, but

opposite in sign, to that of the natural material.⁵⁰ Natural (+)-ptilocaulin thus possesses the absolute stereochemistry depicted in 3.

In final analysis it is evident that ptilocaulin is the thermodynamically most stable of the possible double bond and ring fusion isomers. Moreover, it is somewhat ironic (and disappointing) that the element of thermodynamic control which we rejected in initial synthetic planning (Scheme 1) proved to be the saviour of this synthesis. Nonetheless, the strategy to control the stereochemistry of C.3a in the intramolecular

nitrone cycloaddition did pay positive dividends, since the efficiency of the final step of this synthesis $(26 \rightarrow 7, 58-65\%)$ is substantially better than in approaches defined by $6 \rightarrow 7$ (35-40%).

EXPERIMENTAL

 1 H-NMR spectra were measured at 250 and 270 MHz on Bruker 250 and 270 instruments. Chemical shifts are reported in δ units relative to internal CHCl₃(δ 7.24). 13 C-NMR spectra

were measured at 68 MHz on the Bruker 270, or at 22.5 MHz on a Jeol FX90Q instrument; carbon resonances are reported in δ_c units calibrated against the 77.0 ppm line of CDCl₃. IR spectra were measured on a Perkin-Elmer Model 283B IR Spectrophotometer and were calibrated with the 1601 cm⁻¹ absorption of polystyrene. Mass spectra were measured at 70 eV on a Varian MAT 44 instrument. M.ps were recorded on a Fisher-Johns hot stage m.p. apparatus and are uncorrected. Optical rotations were measured on a Rudolph Autopol III polarimeter using a 1 cm³ capacity quartz cell (10 cm path length). Elemental analyses were performed by Robertson Laboratories, Florham Park, New Jersey.

The standard ptilocaulin numbering system is used for all proton assignments. All intermediates in the "a" series possess α -Bu groups, whereas in the "b" series the Bu group is on the β -face of the intermediates as drawn in text. All compounds containing the guanidine group were isolated and characterized as nitrate salts.

All reactions were conducted in oven (170°) and/or flame dried glassware under atmospheres of dry argon or nitrogen. All solvents were purified before use. Ether and THF were distilled from sodium benzophenone ketyl. CH₂Cl₂ was distilled from CaH₂ and t-BuOH was distilled from Na metal. Disopropylamine was distilled from KOH and stored over activated 4 Å molecular sieves. Hexamethylphosphoric triamide was dried by storage over activated 4 Å molecular sieves. Formic acid and acetic acid were distilled before use. All other reagents were used as obtained.

Analytical TLC was performed by using 2.5 cm \times 10 cm plates coated with a 0.25 mm thickness of silica gel containing PF 254 indicator (Analtech). PTLC was performed by using 20 cm \times 20 cm plates coated with 0.25 and 0.5 mm thicknesses of silica gel containing PF 254 indicator (Analtech). Compounds were eluted from the adsorbents with either EtOAc or ether. Flash chromatography was performed as described by Still et al., 52 using Kieselgel 60 (230–400 mesh) or Kieselgel 60 (70–230 mesh). Compounds were visualized with short-wave UV light, or by staining with either I₂ vapor or charring with ethanolic H₂SO₄. All chromatography solvents were distilled prior to use.

Butylated sulfoxides 11

A soln of LDA was prepared by addition of 39.8 ml of n-BuLi (2.54 M in hexane, 101 mmol, 2.2 equiv) to a soln of dry diisopropylamine (10.7 g, 106 mmol, 2.3 equiv) in 100 ml of THF at -78° . This soln was stirred for 1.5 hr and then added dropwise via cannula over 1 hr to a soln of carefully dried 10^{15} (10.9 g, 46.2 mmol, 1.0 equiv) in THF (75 ml) containing 52 g (290 mmol, 6.4 equiv) of dry HMPA at -40° . After ca 1.0 equiv of LDA had been added the sulfoxide soln changed from yellow to orange and finally dark red after the addition was complete. This red soln was stirred at -35 to -40° for 2 hr and treated with freshly distilled 1-iodobutane (10.1 g, 55.0 mmol, 1.2 equiv; distilled from MgSO₄) in one portion. The red color was almost immediately dispersed, resulting in a pale yellow soln. After being stirred for an additional 2 hr at -35 to -40° the mixture was treated with 150 ml of 10% HCl aq and allowed to warm to room temp. Workup consisted of transferring the soln to a separatory funnel containing Et₂O and 10% HCl (400 ml each), washing with additional portions of 10% HCl (2 × 400 ml), extraction of the combined aqueous layers with Et₂O (5×350 ml), washing the combined organic extracts with sat NaHCO₃ aq (800 ml) and sat NaCl aq (800 ml). After drying over MgSO₄, filtration and removal of the solvent in vacuo the oily residue was purified by flash chromatography (silica gel, 95 mm column, 1:1 EtOAchexane) to afford 10.2 g (76%) of 11 as a mixture of diastereomers (TLC: series of partially resolved spots, R_f 0.28-0.64, 1:1 EtOAc-hexane). H-NMR (CDCl₃, 270 MHz) δ 7.8-7.3 (m, 5H), 3.8-3.3 (3 m's, 1H), 2.7-1.2 (series of m, 12H), 1.2-0.75 (series of d's and t's, 6H); ¹³C-NMR (CDCl₃, 22.5 MHz) δ 206.8, 186.9, 177.5, 142.1, 131.3, 129, 125.9, 124.5, 74.3, 73.8, 60.1, 58, 39, 37.2, 33.3, 29, 28.2, 27.5, 25.2, 23.1, 22.8, 22.5, 20.8, 19.9, 14, 13.7; IR (neat) 3050, 2945, 2920, 2860, 1705, 1580,

 $1460, 1445, 1378, 1084, 1042, 995, 915, 745, 730, 684, 640 cm^{-1}$; mass spectrum m/e 292 (parent ion).

Butylcyclohexenone 12a,b

A soln of 11 (3.62 g, 12.4 mmol) in CCl₄ (100 ml) was treated with CaCO₃ (1.19 g, 11.9 mmol, 0.96 equiv) under N₂. The mixture was warmed to 65° while being stirred rapidly and maintained at this temp for 24 hr. After being cooled to room temp the mixture was filtered through a pad of Celite and the solvent was removed in vacuo. Chromatography of the residue (silica gel, 60 mm column, 4:1 hexane-ether) afforded 1.37 g (66%) of 12 as a ca 6: 1 mixture of β - and α -C.8 isomers: TLC, R_f 0.41 (4:1 hexane-ether); $[\alpha]_0^{22}$ - 69.8° (c = 0.92, CHCl₃); ¹H-NMR data for 12a (CDCl₃, 270 MHz) δ 6.81 (m, 1H, H.8), 5.95(m, 1H, H.8b), 2.6-2.3(m, 2H), 2.25-2.0(m, 2H), 1.8-1.5(m, 2H), 1.4–1.15(m, 4H), $0.95(d, J = 6.7 Hz, 3H, -CH_3), 0.89(t, J)$ = 7.1 Hz, 3H, $-CH_3$); ¹H-NMR data for 12b (CDCl₃, 270 MHz) δ 6.81 (m, 1H, H.8), 5.95 (m, 1H, H.8b), 2.6–2.3 (m, 2H), 2.25-2.0 (m, 2H), 1.8-1.5 (m, 2H), 1.4-1.15 (m, 4H), 1.06 (d, J = 6.5 Hz, 3H, —CH₃), 0.89 (t, J = 7.1 Hz, 3H, —CH₃); 13 C-NMR data for 12b (CDCl₃, 22.5 MHz) δ 201.8, 147.4, 128.9, 53.5, 32.5, 32.3, 28.5, 27.4, 22.9, 19.6, 13.9. IR (neat) 3032, 2955, 2930, 2870, 2830, 1673, 1460, 1425, 1390, 1246, 1203, 1103, 915, 893, 835, 805, 775, 731, 700 cm⁻¹; mass spectrum m/e 166 (parent ion). (Found: C, 79.40; H, 10.94. Calc for C₁₁H₁₈O: C, 79.46; H, 10.91%.)

Allylcyclohexenone 13a,b

A soln of 12a,b (1.96 g, 11.8 mmol) in CH₂Cl₂ (43 ml) was cooled to -78° . TiCl₄ (2.69 g, 14.2 mmol, 1.2 equiv) was added dropwise during 5 min under a stream of Ar, resulting in the formation of an orange-red soln containing a small amount of orange ppt. This mixture was stirred for 5 min at -78° and then allyltrimethylsilane (2.02 g, 17.7 mmol, 1.5 equiv) in CH₂Cl₂ (33 ml) was added dropwise over a 2 hr period. The resultant deep red soln was stirred for 1.5 hr at -78° and then treated with H₂O (20 ml), added dropwise. The mixture was stirred at -78° until the red color had faded to give a colorless soln. After being warmed to room temp the mixture was partitioned between Et₂O and sat NaCl aq (125 ml each). The aqueous layer was further extracted with ether $(5 \times 75 \text{ ml})$ and the combined organic extracts were washed with sat NaCl aq (300 ml). The extracts were dried (MgSO₄), filtered and concentrated in vacuo to give 2.51 g of crude 13a,b. 1H-NMR analysis of this material revealed that it was an approximate 6:1 mixture of 13b:13a of >95% purity. Flash chromatography of a 1.00 g portion of this material (silica gel, 60 mm column, 10:1 hexane-ether) afforded 13b (0.751 g, 15:1 mixture of 13b: 13a), 13a (0.152 g, \sim 8: 1 13a: 13b) and a single mixed fraction containing 13a,b (0.099 g, \sim 4:1 13b:13a). The total recovery was thus 1.00 g (100%). Chromatography of the remaining material (and the mixed fraction) afforded a total of 2.35 g (96%) of 13a,b.

Data for 13a: TLC, R_f 0.63 (4:1 hexane-ether); $[\alpha]_D^{12} - 24.9^\circ$ (c = 2.08, CHCl₃): ¹H-NMR (CDCl₃, 270 MHz) δ 5.77 (m, 1H, H.4), 5.03 (d, J = 12.3 Hz, 1H, Z-H.3a), 5.03 (d, J = 15 Hz, 1H, E-H.3a), 2.46-2.36 (m, 3H), 2.14-1.93 (m, 4H), 1.80-1.59 (m, 3H), 1.38-1.15 (m, 5H), 0.89 (t, J = 6.8 Hz, 3H, -CH₃), 0.77 (d, J = 7.0 Hz, 3H, -CH₃); ¹³C-NMR (CDCl₃, 68 MHz) δ 212.5, 135.7, 116.5, 57.1, 54.0, 48.1, 41.1, 38.9, 34.7, 34.2, 29.5, 25.9, 22.8, 14.0; IR (neat) 3070, 2950, 2920, 2865, 2858, 1708, 1640, 1450, 1380, 1230, 1224, 1202, 1158, 1092, 990, 910 cm⁻¹; mass spectrum m/e 208 (parent ion). (Found: C, 80.84; H, 11.37. Calc for C₁₄H₂₄O: C, 80.71; H, 11.61%.)

Data for 13b: TLC, R_f 0.58 (4:1 hexane-ether); $[\alpha]_{0}^{12}$ +41.8° (c = 1.43, CHCl₃); ¹H-NMR (CDCl₃, 270 MHz) δ 5.72 (m, 1H, H.4), 5.03 (d, J = 11.7 Hz, 1H, Z-H.3a), 5.02 (d, J = 15.6 Hz, 1H, E-H.3a), 2.33-2.04 (m, 7H), 1.77-1.45 (m, 4H), 1.35-1.18 (m, 4H), 0.99 (d, J = 6.7 Hz, 3H, —CH₃), 0.88 (t, J = 6.7 Hz, 3H, —CH₃); ¹³C-NMR (CDCl₃, 68 MHz) δ 213.7, 135.7, 116.4, 56.9, 44.7, 39.8, 34.4, 34.1, 29.5, 22.5, 20.1, 13.7; 1R (neat) 3078, 2948, 2923, 2861, 1705, 1640, 1458, 1443, 1423, 1380, 1346, 1234, 990, 910 cm⁻¹; mass spectrum m/e 208 (parent ion). (Found: C, 80.55; H, 11.70. Calc for C₁₄H₂₄O: C, 80.71; H, 11.61%)

Equilibration of 13a and 13b

Isomerically pure 13a (23 mg, 0.11 mmol) was dissolved in $CH_3OH(2.0\,\text{ml})$ and treated with 76 mg(0.55 mmol, 5 equiv) of powdered anhyd K_2CO_3 . After being stirred for 2 hr the MeOH was removed in vacuo. The residue was dissolved in Et_2O (30 ml) and washed with H_2O (3 × 30 ml). The extracts were dried (MgSO₄), filtered and concentrated in vacuo to afford 21 mg of a 1.9:1 mixture of 13a:13b (¹H-NMR analysis). An identical 1.9:1 mixture of 13a:13b was obtained when pure 13b was subjected to these conditions.

Enolphosphate 14a,b

A soln of LDA was prepared by the addition of 1.19 ml of 2.61 M n-BuLi in hexane (3.11 mmol, 1.6 equiv) to diisopropylamine (418 mg, 4.14 mmol, 2.1 equiv) in THF (6.2 ml) at -78° . To this soln at -78° was added carefully dried ketone 13b (404 mg, 1.94 mmol) in 3.1 ml of cold (-78°) THF. After being stirred for 1.5 hr the soln was warmed to 0°, treated with HMPA (696 mg, 3.88 mmol, 2.0 equiv) and stirred for an additional 30 min. Freshly distilled chlorodiethylphosphate (938 mg, 5.44 mmol, 2.8 equiv; distilled from K₂CO₃) was then added dropwise, and the resulting mixture was warmed to room temp and stirred for 3 hr. Workup involved addition of sat NaHCO₃ aq (50 ml) and repeated extraction with Et₂O $(5 \times 50 \text{ ml})$. The combined extracts were washed successively with H₂O (50 ml), sat NaCl aq (50 ml) and dried over MgSO₄. After filtration and removal of the solvent in vacuo, chromatography of the residue (silica gel, 40 mm column, 2:1 hexane-EtOAc) afforded 506 mg (77%) of 14b.

Data for 14a: R_f 0.36 (2:1 hexane–EtOAc); $[\alpha]_D^{20} + 41.7^\circ$ (c = 0.96, CHCl₃; sample contained ca 10% 14b); ¹H-NMR (CDCl₃, 250 MHz) δ 5.78 (m, 1H, H.4), 5.40 (br s, 1H, H.8b), 5.02 (br d, J = 15.6 Hz, 1H, E-H.3a), 5.01 (br d, J = 10.4 Hz, 1H, Z-H.3a), 4.15 (quint, J = 6.9 Hz, 4H), 2.29 (br s, 2H), 2.07 (m, 3H), 1.7–1.5 (m, 2H), 1.4–1.2 (m, 6H), 1.34 (two overlapping t, J = 7.2 Hz, 6H), 0.90–0.88 (overlapping d and t, 6H, two—CH₃'s); ¹³C-NMR (CDCl₃, 68 MHz) δ 150.3, 136.8, 116.1, 113.3 (d), 64.0 (d), 41.4, 40.4, 33.6, 31.8, 29.9, 28.8, 27.2, 23.0, 16.1 (d), 15.1, 14.0; IR (neat) 3065, 2950, 2922, 2865, 1665, 1638, 1452, 1442, 1378, 1272, 1040, 1030, 980, 910, 810 cm⁻¹; mass spectrum m/e 344 (parent ion). (Found: C, 62.26; H, 9.71. Calc for C₁₈H₃₃PO₄: C, 62.77; H, 9.66%.)

Data for 14b: $[\alpha]_0^{20} - 18.0^{\circ}$ (c = 1.01, CHCl₃); ¹H-NMR (CDCl₃, 270 MHz) δ 5.83–5.70 (m, 1H, H.4), 5.43 (br s, 1H, H.8b), 5.03 (br d, J = 16.1 Hz, 1H, E-H.3a), 5.02 (br d, J = 11.2 Hz, 1H, Z-H.3a), 4.15 (quint, J = 7.1 Hz, 4H), 2.30 (br s, 1H), 2.08 (br t, J = 6.9 Hz, 2H), 2.0–1.8 (m, 2H), 1.75–1.55 (m, 1H), 1.5–1.2 (m, 7H), 1.35 (two overlapping t, J = 7.0 Hz, 6H), 0.98 (d, J = 6.9 Hz, 3H, —CH₃), 0.90 (t, J = 6.3 Hz, 3H, —CH₃); ¹³C-NMR (CDCl₃, 68 MHz) δ 150.2, 136.6, 116.2, 113.2 (d), 64.0 (d), 44.2, 40.2, 31.6, 31.0, 29.5, 29.4, 22.8, 19.3, 16.0, 14.0; IR (neat) 3075, 2955, 2930, 2870, 1672, 1642, 1458, 1445, 1378, 1275, 1132, 1094, 1040, 970, 910, 870, 815, 750 cm⁻¹; mass spectrum m/e 344 (parent ion). (Found: C, 62.84; H, 9.57. Calc for $C_{18}H_{33}PO_4$: C, 62.77; H, 9.66%)

Hydroxyenolphosphate 16a,b

Enolphosphate 14b (506 mg, 1.47 mmol) was dissolved in 4 ml of THF under Ar. This soln was cooled to 0°, treated with 5.20 ml of a freshly prepared soln of 9-BBN (0.5 M in THF, 3.02 mmol, 2.05 equiv) and stirred for 3 hr. The mixture was allowed to warm to room temp (0.5 hr) and then was recooled to 0° and treated with MeOH (2 ml). When gas evolution had subsided 1.0 ml each of 3 M NaOH aq and 30% H₂O₂ aq were simultaneously added dropwise. The soln (which contained a white ppt) was warmed to room temp and stirred for 2 hr. Workup consisted of dilution with ether (50 ml) and extraction with sat NaCl aq (50 ml). The aqueous layer was further extracted with ether (4 × 50 ml) and the combined organic extracts were washed with sat Na₂S₂O₃ aq, sat NaHCO₃ aq, sat NaCl aq and dried over MgSO4. After filtration and removal of the solvent in vacuo the residue was purified by flash chromatography (silica gel, 40 mm column, 20:1 CH₂Cl₂-

MeOH) to give 480 mg of 16b (90%). The yield of 16a from 14a using this procedure was 94%.

Data for 16a: TLC, R_f 0.46 (20:1 CHCl₃-MeOH); $[\alpha]_b^{19}$ + 20.8° (c = 1.00, CHCl₃; sample contained ca 10% 16b); ¹H-NMR (CDCl₃, 250 MHz) δ 5.42 (br s, 1H, H.8b), 4.14 (quint, J = 7.3 Hz, 4H), 3.62 (t, J = 6.5 Hz, 2H, —CH₂—OH), 2.26 (br s, 2H), 2.2–2.0 (m, 1H), 1.82 (br s, 3H), 1.7–1.5 (m, 4H), 1.5–1.2 (m, 9H), 1.35 (two overlapping t, J = 7.1 Hz, 6H), 0.90–0.88 (overlapping d and t, 6H, two —CH₃'s); IR (neat) 3440, 2925, 2860, 1668, 1445, 1378, 1263, 1148, 1035, 975, 815, 728 cm⁻¹; mass spectrum m/e 362 (parent ion). (Found: C, 59.47; H, 9.89. Calc for $C_{19}H_{34}PO_4$: C, 59.65; H, 9.73%.)

Calc for $C_{18}H_{35}PO_5$: C, 59.65; H, 9.73%.)

Data for 16b: $[\alpha]_D^{19} - 16.7^\circ$ (c = 0.46, CHCl₃); ¹H-NMR (CDCl₃, 250 MHz) δ 5.43 (br s, 1H, H.8b), 4.14 (quint, J = 7.2 Hz, 4H), 3.64 (t, J = 6.4 Hz, 2H, —CH₂OH), 2.24 (br s, 1H), 2.0–1.5 (m, 9H), 1.5–1.2 (m, 12H), 1.36 (two overlapping t, J = 7.1 Hz, 6H), 0.97 (d, J = 6.9 Hz, 3H, —CH₃), 0.89 (t, J = 6.2 Hz, 3H, —CH₃); ¹³C-NMR (CDCl₃, 68 MHz) δ 150.1, 113.8 (d), 63.9 (d), 62.9, 44.4 (d), 32.1, 31.7, 31.4, 31.1, 30.1, 29.8, 29.4, 22.8, 19.4, 16.0 (d), 13.9; IR (neat) 3430, 2950, 2930, 2865, 1675, 1455, 1380, 1268, 1130, 1040, 970, 815 cm⁻¹; mass spectrum m/e 362 (parent ion). (Found: C, 59.75; H, 9.62. Calc for $C_{18}H_{35}PO_5$: C, 59.65; H, 9.73%.)

Alcohol 17a.b

A soln of Li in EtNH2 was prepared by adding Li wire (85 mg, 12.3 mmol, 10 equiv) to anhyd EtNH2 (25 ml) at 0°. When the Li wire had been consumed, the resulting deep blue soln was treated with 0.23 ml of dry t-BuOH (182 mg, 2.46 mmol, 2.0 equiv). To this stirred soln was rapidly added 16a,b (446 mg, 1.23 mmol) as a soln in 4 ml of THF containing 0.43 ml of t-BuOH (342 mg, 4.61 mmol, 3.75 equiv). After being stirred for 1.5 hr at 0° the soln was treated with excess solid NH₄Cl (ca 5 g, in several portions). The EtNH₂ was evaporated by gentle warming and the residue was treated with H₂O (25 ml). The aqueous layer was extracted with ether (4 × 50 ml) and the combined organic extracts were washed with sat Na₂S₂O₃ aq, sat NaCl aq and dried over MgSO₄. After filtration and removal of the solvent in vacuo the residue was purified by column chromatography (silica gel, 40 mm, 1:1 EtOAchexane) affording 256 mg of 17a,b (99%).

Data for 17a: (data obtained on mixture containing ~15% of 17b): TLC, R_f 0.58 (1:1 EtOAc-hexane); ¹H-NMR (CDCl₃, 270 MHz) δ 5.48 (A of AB, J_{AB} = 10.2 Hz, 1H), 5.41 (B of AB, J_{AB} = 10.2 Hz, 1H), 3.61 (t, J = 6.5 Hz, 2H, —CH₂OH), 2.15–1.8 (m, 3H), 1.7–1.5 (m, 4H), 1.45–1.1 (m, 9H), 0.87 (br t, 3H), 0.78 (d, J = 6.9 Hz, 3H, —CH₃); ¹³C-NMR (CDCl₃, 68 MHz) δ 130.5, 130.2, 63.2, 39.0, 36.1, 32.3, 31.9, 30.1, 29.5, 29.2, 22.9, 14.1, 13.5; IR (neat) 3330, 3010, 2960, 2920, 2850, 1450, 1375, 1050 cm⁻¹; mass spectrum m/e 210 (parent ion).

Data for 17b: $[a]_{0}^{19} - 11.0^{\circ}$ (c = 0.2, CHCl₃); ¹H-NMR (CDCl₃, 250 MHz) δ 5.63 (br s, 2H, H.8b and H.8a), 3.64 (t, J = 6.6 Hz, 2H, —CH₂OH), 2.05 (m, 1H), 1.7–1.1 (m, 15H), 0.94 (d, J = 6.4 Hz, 3H, —CH₃), 0.89 (br t, 3H, —CH₃); ¹³C-NMR (CDCl₃, 68 MHz) δ 130.7, 130.3, 63.2, 42.3, 34.3, 34.0, 32.7, 32.1, 30.6, 29.5, 29.1, 23.0, 20.1, 14.0; IR (neat) 3340, 3010, 2920, 2860, 1648, 1455, 1375, 1052, 720 cm ⁻¹; mass spectrum m/e 210 (parent ion). (Found: C, 79.69; H, 12.47. Calc for C₁₄H₂₆O: C, 79.93; H, 12.46%)

Aldehyde 18a,b

To 5 ml of dry CH_2Cl_2 containing ca 30 mg of NaOAc was added 395 mg of pyridinium chlorochromate (1.83 mmol, 1.5 equiv). This suspension was treated with a soln of 17a,b (17b:17a = 1.5:1) in 2 ml of CH_2Cl_2 and vigorously stirred. TLC analysis after 1.5 hr revealed the complete consumption of starting material. Addition of ether (40 ml) to the rapidly stirred mixture resulted in the formation of a black granular ppt. This soln was filtered through Florisil and the ppt was thoroughly washed with ether (ca 150 ml total). After removal of the solvent in vacuo 229 mg (90%) of crude 18a,b(>95% pure by 1 H-NMR analysis) was obtained. A portion of 18b (from an oxidation of isomerically pure 17b) was purified by preparative thin layer chromatography (silica gel, 2:1

hexane-EtOAc) for characterization. In preparative runs, however, crude 18a,b was used immediately in the subsequent step.

Data for 18b: TLC, R_f 0.71 (1:1 EtOAc-hexane); $[\alpha]_b^{10} = -11.5^\circ$ (c = 0.34, CHCl₃); ¹H-NMR (CDCl₃, 250 MHz) δ 9.79 (t, J = 1.8 Hz, 1H, H.3a), 5.7–5.5 (m, 2H), 2.48 (d of t, $J_{3a,4} = 1.8$ Hz, $J_{4,5} = 7.7$ Hz, 2H, H.4), 2.07 (m, 1H), 1.8–1.5 (m, 4H), 1.5–1.2 (m, 8H), 0.96–0.80 (overlapping d and t, two CH₃'s, 6H); IR (neat) 3010, 2950, 2920, 2860, 2720, 1727, 1650, 1458, 1410, 1375, 1200, 742, 730 cm⁻¹; mass spectrum m/e 208 (parent ion).

Benzylisoxazolidine 19a,b

Freshly prepared aldehyde $18a,b(18b:18a = \sim 1.9:1)$ (229 mg, 1.10 mmol) was dissolved in dry benzene (20 ml) and the soln was degassed by flushing with Ar. The soln was treated with benzylhydroxylamine^{29,30} (137 mg, 1.11 mmol, 1.01 equiv) and gently heated to reflux. Removal of water was accomplished by allowing the benzene to condense in a column containing 3 Å molecular sieves. After 8 hr TLC analysis revealed that reaction was complete, so the solvent was removed in vacuo. Purification of the residue by flash chromatography (silica gel, 40 mm column, 9:1 hexane—ether) afforded isomerically pure 19b (185 mg), and 105 mg of a 7:1 mixture of 19a:19b. The combined yield of 19a,b was thus 290 mg (84%).

Data for 19a: TLC, R_f 0.50 (4: 1 hexane-ether); $[\alpha]_0^{1.9} + 5.2^{\circ}$ (c = 0.81, CHCl₃; sample contained ca 10% of 19b); 1 H-NMR (CDCl₃, 250 MHz) δ 7.45–7.2 (m, 5H, aromatic), 4.35 (d of d, $J_{8a,8} = 1.9$ Hz, $J_{8a,8b} = 5.5$ Hz, 1H, H.8a), 4.19 (A of AB, $J_{AB} = 12.6$ Hz, 1H, benzylic H), 3.90 (B of AB, $J_{AB} = 12.6$ Hz, 1H, $J_{8b,8a} = J_{8b,3a} = 5.5$ Hz, 1H, H.3a), 2.77 (t of d, $J_{8b,5a} = 9.1$ Hz, $J_{8b,8a} = J_{8b,3a} = 5.5$ Hz, 1H, H.8b), 2.12 (m, 1H, H.7), 2.0–1.8 (m, 2H), 1.8–1.55 (m, 2H), 1.55–1.0 (m, 10H), 0.94 (t, J = 6.5 Hz, 3H, —CH₃), 0.87 (d, J = 7.0 Hz, 3H, —CH₃); 13 C-NMR (CDCl₃, 68 MHz) δ 137.7, 129.2, 128.3, 127.1, 78.3, 72.1, 61.8, 45.1, 39.4, 37.0, 34.5, 31.5, 31.2, 30.0, 25.5, 23.1 (2), 18.6, 14.1; IR (neat) 3085, 3060, 3030, 2910, 1950, 1840, 1810, 1605, 1495, 1452, 1375, 1325, 1300, 1265, 1218, 1180, 1142, 1070, 1030, 1012, 990, 940, 901, 825, 750, 730, 694, 630 cm⁻¹; mass spectrum m/e 313 (parent ion). (Found: C, 80.43; H, 9.81. Calc for C₂₁H₃₁NO: C, 80.46; H, 9.97%.)

Data for 19b: TLC, R_f 0.57 (4:1 hexane-ether); $[\alpha]_D^{19} - 50.6^{\circ}$ (c = 0.52, CHCl₃); ¹H-NMR (CDCl₃, 250 MHz) δ 7.45–7.2 (m, 5H, aromatic), 4.39 (d of d, $J_{8a,8} = 2.7$ Hz, $J_{8a,8b} = 6.0$ Hz, 1H, H.8a), 4.12 (A of AB, $J_{AB} = 12.6$ Hz, 1H, benzylic (h), 3.85 (B of AB, $J_{AB} = 12.6$ Hz, 1H), 3.61 (d of d, $J_{3a,4cts} = 6.1$ Hz, $J_{3a,8b} = 6.0$ Hz, 1H, H.8a), 2.77 (t of d, $J_{8b,5a} = 7.9$ Hz, $J_{8b,5a} = J_{8b,3a} = 6.0$ Hz, 1H, H.8b), 2.0–1.8 (m, 2H), 1.8–1.2 (m, 13H), 0.89 (overlapping d and t, 6H, two CH₃'s); ¹³C-NMR (CDCl₃, 68 MHz) δ 137.7, 129.2, 128.6, 127.1, 75.1, 71.8, 61.4, 48.6, 43.8, 37.4, 36.8, 35.1, 30.5, 29.3, 28.9, 24.9, 23.1, 20.3, 14.1; IR (neat) 3082, 3060, 3025, 2950, 2870, 1605, 1495, 1452, 1378, 1335, 1172, 1155, 1028, 925, 725, 692, 630; mass spectrum m/e 313 (parent ion). (Found: C, 80.60; H, 9.70. Calc for $C_{21}H_{31}NO: C$, 80.46; H, 9.97%.)

Benzylamino alcohol 20a,b

Benzylisoxazolidine 19b (105 mg, 0.335 mmol) was dissolved in 8 ml of 10 M AcOH aq. The soln was heated to 55° and then excess Zn dust (220 mg, 3.36 mmol, \sim 10 equiv) was added with vigorous stirring. An additional portion of Zn (\sim 100 mg) was added after 40 min. After 3 hr TLC analysis revealed the complete consumption of 19b. Thus, the reaction mixture was cooled, diluted with 25 ml of H_2O and basified (pH 14) by addition of 6 M KOH. The soln was extracted with CHCl₃ (4 \times 50 ml) and the extracts filtered through adsorbent cotton. Removal of solvent in vacuo afforded 100 mg (95%) of analytically pure 20b, which was used in subsequent steps as obtained. The yield of 20a was 95% following this procedure.

Data for 20n: TLC, R_f 0.45 (20:1 CHCl₃-CH₃OH); $[\alpha]_D^{12}$ +4.0° (c = 3.43, CHCl₃); ¹H-NMR (CDCl₃, 250 MHz) δ 7.39–7.26 (m, 5H, aromatic), 4.02 (t, J = 3.8 Hz, 1H, H.8a), 3.84 (A of AB, J_{AB} = 13 Hz, 1H, benzylic H), 3.77 (B of AB, J_{AB} = 13

Hz, 1H), 3.48 (m, 1H, H.3a), 2.75 (broad s, 2H, —OH, —NH), 2.26 (m, 1H), 2.2–1.85 (m, 4H), 1.65–1.03 (m, 11H), 0.89 (d, J = 6.9 Hz, 3H, —CH₃), 0.88 (t, J = 6.2 Hz, 3H, —CH₃); 13 C-NMR (CDCl₃, 68 MHz), δ 140.0, 128.4, 128.1, 127.1, 69.8, 61.5, 53.6, 45.1, 40.5, 37.7, 31.0, 30.7, 29.5, 24.2, 23.3, 23.1, 18.5, 14.1; IR (neat) 3400, 3300, 3038, 2960, 2940, 2878, 1500, 1458, 1030, 745, 698 cm⁻¹. (Found: C, 79.81; H, 10.84. Calc for C₂₁H₃₃NO: C, 79.95; H, 10.54%)

Data for 20b: TLC, R_f 0.36 (20:1 CHCl₃-CH₃OH); m.p. 48-50°; $[\alpha]_0^{20}$ - 26.0° (c = 0.4, CHCl₃); ¹H-NMR (CDCl₃, 270 MHz), δ 7.36-7.26 (m, 5H, aromatic), 4.06 (m, 1H, H.8a), 3.82 (s, 2H, benzylic H), 3.46 (m, 1H, H.3a), 2.50-1.10 (m, 18H), 0.91 (t, J = 7.3 Hz, 3H, --CH₃), 0.89 (d, J = 7.3 Hz, 3H, --CH₃); IR (neat) 3410, 3310, 3060, 3025, 2955, 2930, 2865, 1605, 1495, 1454, 1375, 1112, 1065, 1028, 960, 908, 732, 694 cm⁻¹; mass spectrum m/e 315 (parent ion). (Found: C, 79.76; H, 10.72. Calc for $C_{21}H_{33}NO$: C, 79.95; H, 10.54%.)

Benzylamino ketone 21a,b

Benzylamino alcohol 20a (76 mg, 0.241 mmol) was dissolved in 5 ml of glacial AcOH and treated with 75 drops (ca 2.5 ml) of 2 M HCl aq at 23°. After being stirred for 15 min the solution was cooled to 0-5° and slowly treated with 120 drops (ca 4 ml) of Jones' reagent. A cloudy orange-brown soln resulted, which after 2.5 hr became clear orange with a small amount of dark ppt. The cold soln was transferred slowly via pipette to a separatory funnel containing sat NaHCO₃ aq and CHCl₃. The aqueous phase was extracted thoroughly with CHCl₃ $(6 \times 30 \text{ ml})$ and the combined organic extracts were filtered through adsorbent cotton. After removal of the solvent in vacuo 77 mg (quantitative yield) of 21a was obtained as a pale yellow oil. Analysis of the product by 1H-NMR indicated that the oxidation product (containing $\sim 5-20\%$ of 40a) was 95% pure. The yield of 21b using this procedure was 87-95%. Because 21a,b was prone to epimerize this intermediate was typically used immediately in the next step. However, ketones 21a or 21b (from 20b) could be purified by careful chromatography on silica gel (0.5 mm silica gel preparative plate, 20: 1 CH₂Cl₂-CH₃OH) with mass recoveries of roughly 75%. Small quantities of trans-fused isomers 40a and 40b were separated in such purifications.

Data for 21a: TLC, R_f 0.41 (20:1 CHCl₃-MeOH); $[\alpha]_D^{20}$ -69° (c=0.3, CHCl₃; sample contained ca 20% of 40a; ¹H-NMR (CDCl₃, 250 MHz) δ 7.34–7.20 (m, 5H, aromatic), 3.76 (A of AB, J = 13 Hz, 1H, benzylic H), 3.68 (B of AB, J = 13 Hz, 1H), 3.30 (q, J = 7.1 Hz, 1H, H.3a), 2.82 (t, J = 7.1 Hz, 1H, H.8b), 2.52–2.35 (series of m, 3H), 2.30–2.20 (m, 1H), 2.05–1.90 (m, 1H), 1.86–1.45 (series of m, 6H), 1.42–1.15 (m, 5H), 0.92–0.87 (overlapping d and t, 6H, two —CH₃'s); IR (neat) 3335, 3060, 3030, 2960, 2930, 2870, 1695, 1620, 1608, 1494, 1455, 1380, 732, 698 cm⁻¹.

Data for 21b: TLC, R_f 0.33 (20:1 CHCl₃-MeOH); $[\alpha]_D^{10}$ - 19.5° (c = 0.56, CHCl₃; sample contained ~10% 40b); ¹H-NMR (CDCl₃, 250 MHz) δ 7.38–7.19 (m, 5H, aromatic), 3.83 (A of AB, J = 12.8 Hz, 1H, benzylic H), 3.70 (B of AB, J = 12.8 Hz, 1H), 3.08 (t of d, J = 8.7, 5.1 Hz, 1H, H.3a), 2.73 (d of d, J = 5.1, 8.0 Hz, 1H, H.8b), 2.6–2.4 (m, 1H, H.5a), 2.10–1.9 (m, 2H), 1.9–1.5 (m, 9H), 1.4–1.2 (m, 4H), 1.02 (d, J = 5.9 Hz, 3H, —CH₃), 0.90 (t, J = 6.8 Hz, 3H, —CH₃); 1R (neat) 3335, 3085, 3065, 3030, 2955, 2930, 2870, 1700, 1608, 1495, 1465, 1455, 1382, 1185, 1153, 1030, 734, 698 cm⁻¹; mass spectrum m/e 313 (parent ion); high resolution mass spectrum, obsd. 313.241 (\pm 0.001), C₂₁H₃₁NO requires 313.2406.

Data for trans-ring fusion isomer 40a: TLC, R_f 0.5 (20:1 CHCl₃-MeOH); ¹H-NMR (CDCl₃, 250 MHz) δ 7.34-7.22 (m, 5H, aromatic), 3.80 (A of AB, J = 13.1 Hz, 1H, benzylic H), 3.68 (B of AB, J = 13.1 Hz, 1H), 3.39 (t of d, J = 9.1, 5.8 Hz, 1H, H.3a), 2.44 (m, 2H), 2.22 (d of d, J = 9.1, 12.5 Hz, 1H, H.8b), 2.1-1.9 (m, 2H), 1.84-1.60 (m, 4H), 1.6-1.45 (m, 2H), 1.4-1.1 (m, 6H), 0.89 (t, J = 6.9 Hz, 3H, —CH₃), 0.76 (d, J = 7.0 Hz, 3H, —CH₃).

Data for trans-ring fusion isomer 40b: TLC, R_f 0.5 (20:1 CHCl₃-MeOH); ¹H-NMR (CDCl₃, 250 MHz) & 7.35-7.15 (m,

5H, aromatic), 3.83 (A of AB, J = 13 Hz, 1H, benzylic H), 3.69 (B of AB, J = 13 Hz, 1H), 3.38 (t of d, J = 9, 6 Hz, 1H, H.3a), 2.39 (d of d, J = 9, 12.5 Hz, 1H, H.8b), 2.25–1.4 (series of m, 12H), 1.35–1.15 (m, 4H), 0.97 (d, J = 7 Hz, 3H, —CH₃), 0.88 (t, J = 7 Hz, 3H, —CH₃).

Amino alcohol 24a,b

Benzylamino alcohol 20b (33.4 mg, 0.106 mmol) was dissolved in 3 ml of anhyd MeOH and treated with 0.30 ml of anhyd formic acid. Freshly prepared Pd black 41 (40 mg) was added to the rapidly stirring soln. After 19 hr the reaction mixture was filtered through Celite, washed with MeOH (30 ml) and concentrated. Redissolution in CHCl₃, treatment with anhyd K₂CO₃, filtration through adsorbent cotton and removal of solvent in vacuo afforded 24b (22.9 mg, 96%) as white leafs (m.p. 84–86°).

Data for 24n: R_f 0.11 (3:1 CHCl₃-MeOH); ¹H-NMR (CDCl₃, 250 MHz) δ 3.98 (t, 1H), 3.73 (m, 1H), 2.5–1.8 (series of m, 7H), 1.6–1.1 (series of m, 12H), 1.0–0.8 (overlapping d and t, 6H).

Data for 24b: R_f 0.11 (3:1 CHCl₃-MeOH); ¹H-NMR (CDCl₃, 270 MHz) δ 4.04 (t, J = 1.9 Hz, 1H), 3.71 (m, 1H) 2.1-1.0 (series of m, 18H), 0.95-0.80 (overlapping d and t, 6H); mass spectrum m/e 225 (parent ion); high resolution mass spectrum, obsd. 225.209 (\pm 0.001), $C_{14}H_{27}NO$ requires 225.209.

Amino ketone 26a.b

Method A. Benzylamino ketone 21a,b (18 mg, 0.058 mmol) was dissolved in 2 ml of anhyd MeOH and treated with 0.20 ml of anhyd HCO₂H. Freshly prepared Pd black⁴¹ (40 mg) was added to the rapidly stirring soln. After 1.5–2.0 hr the mixture was filtered through Celite, washed with MeOH (20 ml) and concentrated in vacuo. Immediately before use in the subsequent guanidination reaction the residue was dissolved in CH₂Cl₂, washed with sat NaHCO₃ aq and concentrated in vacuo to give 13 mg of epimeric 26a,b (quantitative yield).

Method B. Amino alcohol 24a (7 mg, 0.031 mmol) was dissolved in 1 ml glacial acetic acid and treated with 10 drops of 2 M HCl aq. After being cooled to 0° the mixture was treated with 20 drops of Jones' reagent. After 2.5 hr the mixture was diluted with sat NaHCO₃ aq and extracted with CHCl₃ (4 × 6 ml). Filtration through adsorbent cotton and removal of solvent in vacuo afforded epimeric 26a (6.5 mg, 94%): TLC of mixture: R_f 0.22 (3:1 CHCl₃-MeOH).

Data for **26a** (sample contained ca 30% of C.8b and C.8 isomers): ¹H-NMR (CDCl₃, 270 MHz) δ 3.54 (m, 1H, H.3a), 2.75–1.10(series of m, 18H), 1.00–0.80(overlapping d and t, 6H, two —CH₃'s); IR (neat) 2960, 2935, 2865, 1708, 1585, 1460, 1380 cm⁻¹.

Data for 26b (sample contained ca 30% of C.8b and C.8 isomers): 1 H-NMR (CDCl₃, 270 MHz) δ 3.35 (m, 1H), 2.75–2.4 (series of m, 3H), 2.35–1.10 (series of m, 15H), 1.05 (d, J = 7.0 Hz, 3H, —CH₃), 0.92 (t, J = 7.0 Hz, 3H, —CH₃); IR (neat) 2960, 2935, 2865, 1705, 1575, 1460, 1380 cm⁻¹; mass spectrum m/e 223 (parent ion).

Isoxazolidine 27b

Benzylisoxazolidine 19b (10 mg, 0.03 mmol) was dissolved in 1.0 ml of anhyd MeOH and treated with 0.1 ml of anhyd HCO₂H. Freshly prepared Pd black⁴¹ (30 mg) was added to the rapidly stirring soln. After 2 hr TLC analysis revealed that reaction was complete. The mixture was filtered through Celite, washed with MeOH (10 ml) and concentrated in vacuo. Redissolution in CHCl₃, stirring with anhyd K_2 CO₃, filtration through adsorbent cotton and removal of solvent in vacuo afforded 7 mg of 27b. Purification by chromatography (silica gel, 250 preparative plate, 3:1 Et₂O-hexane) afforded 6.7 mg(95%) of 27b: TLC, R_f 0.60(20:1 CHCl₃-MeOH); m.p. 109-110°; ¹H-NMR (CDCl₃, 250 MHz), δ 3.94 (t, J = 6.6 Hz, 1H, H.8a), 3.66 (d of d, J = 2.7, 3.0 Hz, 1H, H.3a), 2.65 (q, J = 6.9 Hz, 1H, H.8b), 2.0-1.8 (m, 2H), 1.8-1.1 (series of m, 14H),

1.0-0.8 (overlapping d and t, 6H, —CH₃'s); IR (CH₂Cl₂) 2948, 2865, 1458, 1378, 1345, 1178, 1082, 1025, 998, 920, 870, 852, 832; mass spectrum *m/e* (rel. intensity) 223 (M⁺, 3), 206 (100).

(-)-Ptilocaulin (7)

Method A. Amino ketone 26b (7.8 mg, 0.035 mmol) was transferred to a 1 ml pear tipped reaction tube and mixed with 7.0 mg (0.035 mmol, 1 equiv) of GDMP (23).42 After degassing and flushing with Ar the mixture was heated to 120° for 15-45 min. The mixture was cooled to 23° and the brown residue purified by chromatography (silica gel, 10 mm column, 83:17 CHCl₃-MeOH) to afford 5.6 mg (51%) of a mixture of three compounds tentatively assigned structures 7, 41 and 42 $(\sim 2:1:1)$. When 26b (24 mg, 0.108 mmol) was heated with 23 (22.7 mg, 0.113 mmol, 1.05 equiv) for 1.25 hr at 125° a similar 2:1:1 mixture was obtained (16.2 mg, 48%) after chromatographic purification. Performing the reaction at 100° (30 min) afforded a ca 1:1:1 mixture of 7, 41 and 42. When 26a was heated with 23 at 120° (15 min) a ca 1:1 mixture of products, tentatively assigned structures 41 and 43, was obtained along with a trace of 7.

Data for mixture of 7, 41 and 42: TLC of mixture: R_f 0.65 (3:1 CHCl₃-MeOH); ¹H-NMR (CDCl₃, 270 MHz) δ 9.29, 9.23, 9.15 (3s, ca 2:1:1, 1H, NH), 8.20, 8.15, 7.92 (series of s and m, 1H, NH), 7.6–7.3 (series of bs, 2H, NH₂), 4.25 (m, 0.25H, H.3a of 41), 3.92 (m, 0.25H, H.3a of 42), 3.78 (m, 0.50H, H.3a of 7), 2.7–1.15 (series of m, 15H), 1.15–0.70 (series of d and t, 6H). Data for mixture of 41, 43 and 7: TLC of mixture: R_f 0.65 (3:1 CHCl₃-MeOH); ¹H-NMR (CDCl₃, 250 MHz, data reported for crude sample), 9.15, 8.86, 8.75, 8.32, 8.25, 8.18 (series of s), 8.75–7.2 (series of s and m), 4.25 (m, ca 0.45H, H.3a of 41), 3.85 (m, ca 0.45H, H.3a of 43), 3.78 (m, 0.1H, C.3a of 7), 2.7–1.2 (series of m), 1.15–0.70 (series of d and t).

A sample containing 7, 41 and 42 (4.5 mg, 2:1:1) was dissolved in 1.5 ml of dry benzene and treated with a crystal of guanidine. The mixture was heated at 80° for 24 hr, cooled to 23°, treated with 2 drops of 1% HNO₃ aq and extracted with CHCl₃ and 10 ml sat NaNO₃ aq. Removal of solvent in vacuo and chromatography of the residue (silica gel, 10 mm column, 85:15 CHCl₃-MeOH) afforded 4.0 mg (89%) of (-)-ptilocaulin (7) containing only a trace (<5%) of 41. The ¹H-NMR data of 7 was identical to that summarized below. Application of this procedure to a mixture of 43 and 41 (ca 3:1) likewise afforded homogeneous 7 (74% after chromatography).

Method B. Amino ketone 26b (27 mg, 0.121 mmol) was transferred to a 1 ml pear tipped reaction tube and mixed with GDMP (26 mg, 0.129 mmol, 1.1 equiv). After thorough degassing and flushing with Ar, the mixture was gradually warmed to 150° over 1 hr and maintained at this temperature for 4 hr. After being cooled to 23° the dark reaction mixture was purified by chromatography (silica gel, 30 mm, CHCl₃ (75 ml) then 2-17% gradient of MeOH-CHCl₃) to afford 21.5 mg of 7 (58%, > 90% isomeric purity). Application of the high temperature melt procedure (155°, 6 hr) to 26a (24 mg, 0.107 mmol) afforded 7 (22.5 mg, 66%, >80% isomeric purity (8:1:1, 7:41:43)). After washing a CHCl₃ soln of 7 with sat NaNO₃ aq(10ml, containing 3 drops of 1% HNO₃) removal of solvent and repeated washing of the residue with anhyd ether, pure crystalline 7 was obtained (>99% isomeric purity): m.p. 183-184° (lit. 3 183-185°); TLC, R, 0.27 (5:1 CHCl3-MeOH), 0.33 (4:1 CHCl₃-MeOH), 0.41 (5:1 CH₂Cl₂-MeOH); $[\alpha]_0^{22}$ -73.9° (c = 0.31, 99.9% MeOH); $[iit.^{50} [\alpha]_D^{23}$ +74.4° (99.5%) MeOH); ¹H-NMR (CDCl₃, 270 MHz), δ 8.86 [9.20]⁵¹ (s, 1H, -NH), 8.33 [8.62]⁵¹ (br d, 1H, —NH), 7.45 [7.55]⁵¹ (s, 2H, -NH₂), 3.75 (m, 1H, H.3a), 2.50-2.35 (m, 4H), 2.1-1.90 (m, 2H), 1.75-1.6 (m, 2H), 1.55-1.20 (two m's, 7H), 1.02 (d, J=6.7 Hz, 3H, CH₃), 0.87 (t, J=7.3 Hz, 3H, —CH₃); 13 C-NMR (CDCl₃, 68 MHz), δ 151.7, 127.1, 121.0, 53.2, 36.5, 34.0, 33.1, 32.2, 29.6, 27.8, 26.8, 24.6, 22.4, 19.5, 13.9; IR (CHCl₃) 3260, 3018, 2960, 2930, 2870, 1680, 1608, 1540, 1465, 1458, 1402, 1378, 1344, 1265, 1200, 710, 660 cm⁻¹; UV (EtOH) 225 (ε = 8500); mass spectrum (EI), m/e (rel. intensity %) 247 (M⁺, 31), 232 (77), 204 (100), 190 (63), 176 (19); high resolution mass

spectrum obsd. 247.206 (± 0.001), $C_{15}H_{25}N_3$ requires 247.2050.

Acknowledgement—This research was supported by grants from the National Cancer Institute (Training Grant No. T32-CA-09112) and the National Science Foundation (Grant No. 8106987-CHE).

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Natural ptilocaulin nitrate has [α]_D²³ + 74.4° (99.5% CH₃OH) (Prof. K. L. Rinehart). We thank Prof. Rinehart for providing the optical rotation data, as well as a sample of natural ptilocaulin nitrate (3). We are also grateful to Prof. B. B. Snider for providing spectral data and a sample of racemic 3.

51 The chemical shifts of the guanidinium protons of ptilocaulin depend on the previous handling of the sample. The values in brackets are those obtained for both synthetic and natural ptilocaulin after dissolution in CH₃OH. Chemical shifts identical to those reported by Rinehart are restored after a CHCl₃ solution of ptilocaulin is washed with saturated aqueous NaNO₃ solution.

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